

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/850,184	05/07/2001	Randy Buswell	Wyse-006

CONFIRMATION NO. 9750

## FORMALITIES LETTER



\*OC00000006278435\*

Timothy H. Gens  
 The Technology Law Group  
 265 Cambridge Avenue  
 Box 61029  
 Palo Alto, CA 94306

Date Mailed: 07/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 5 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1010.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

AM  
 060000094 09850184  
 01/15/2002 HN00R1  
 01 FC:201  
 02 FC:202  
 03 FC:203  
 04 FC:205

01 FC:201  
 02 FC:202  
 03 FC:203  
 04 FC:205



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/850,184	
	Filing Date	05/07/2001	
	First Named Inventor	Buswell et al.	
	Group Art Unit	Not Assigned	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	Wyse-006

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  1. Declaration for Utility Patent
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

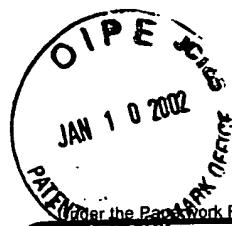
Firm or Individual name	Timothy H. Gens, The Technology Law Group
Signature	
Date	November 9, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Timothy H. Gens
Signature	
Date	11-9-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 722.00)

Complete if Known	
Application Number	09/850,184
Filing Date	05/07/2001
First Named Inventor	Buswell, et al.
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	Wyse-006

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 501484

Deposit Account Name Technology Law Group

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	370.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	-20** = 5	x 9	= 45.00
14	- 3** = 1	x 42	= 42.00

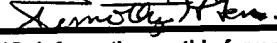
\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 87.00)

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	65.00
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 380	216 190		Extension for reply within second month	
117 870	217 435		Extension for reply within third month	
118 1,360	218 680		Extension for reply within fourth month	
128 1,850	228 925		Extension for reply within fifth month	
119 300	219 150		Notice of Appeal	
120 300	220 150		Filing a brief in support of an appeal	
121 260	221 130		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,210	241 605		Petition to revive - unintentional	
142 1,210	242 605		Utility issue fee (or reissue)	
143 430	243 215		Design issue fee	
144 580	244 290		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Petitions related to provisional applications	
126 240	126 240		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 690	246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345		For each additional invention to be examined (37 CFR § 1.129(b))	
179 690	279 345		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	
Other fee (specify) _____				
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 265.00)

## SUBMITTED BY

Name (Print/Type)	Timothy H. Gens	Registration No. (Attorney/Agent)	29153	Telephone	(650) 949-4880
Signature				Date	11-09-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Buswell et al.

U.S. Serial No: 09/850,184

Group Art Unit: Not Assigned

Date Filed: May 7, 2001

Examiner: Not Assigned

Title: "METHOD AND SYSTEM FOR REGISTRY FLYING IN A NETWORK"

Assistant Commissioner of Patents  
Washington D. C. 20231

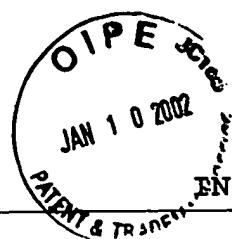
CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Certificate of Mailing and the Response To Notice To File Missing Parts including a Declaration attached hereto for the above-identified patent application, are being deposited by me with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on November 9, 2001.

Date: November 9, 2001

Timothy H. Gens  
Registration No. 29153  
Patent Attorney for Applicant

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EN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Buswell, et al.

Serial No. : 09/850,184

Group Art Unit: Not Assigned

Filed : 05/07/2001

Examiner: Not Assigned

For: METHOD AND SYSTEM FOR  
REGISTRY FLYING IN A NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Referring to the above-identified application and to the Notice to File Missing Parts of Application - Filing Date Granted (copy enclosed), applicant submits herewith the following:

1. Declaration by Inventors
2. Transmittal Form
3. Fee Transmittal Form
4. Check in the total amount of \$ 722.00 for the filing fee, and surcharge, for the above-referenced patent application.

Please charge any additional fees or credit any overpayment to Deposit Acct. No.501484 (Order No. Wyse-006).

Respectfully submitted,

THE TECHNOLOGY LAW GROUP

By Timothy H. Gens  
Timothy H. Gens  
Reg. No. 29153

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